1 INTRODUCTION

This procedure is derived from the requirements of Appendix D – Pay Progression Within Grades of the National Framework Agreement for the Modernisation of Pay Structures. It applies to Professional Services Staff and Academic & Research staff whose salaries fall within the UCEA 51 point pay spine (up to and including Grade 8/Ac4) and those academic staff on FE64 contracts of employment.

2 OBJECTIVES OF PROCEDURE

This procedure aims to recognise and reward exceptional contributions/performance, capability and competence which exceeds expectations. It lays down the principles and procedures to follow in the award of:

i. Ex gratia award
ii. Accelerated increment
iii. Contribution increment

It should be noted that existing school and departmental budgets are used to fund salary recognition and reward awards for staff.

3 PROCEDURE

3.1 Types of Award

<table>
<thead>
<tr>
<th>Award type</th>
<th>Award Criteria</th>
<th>Eligibility Criteria</th>
<th>Award payment</th>
</tr>
</thead>
<tbody>
<tr>
<td>Ex Gratia Award</td>
<td>Exceed expectations, where work is a one-off contribution</td>
<td>6 month in post qualifying period</td>
<td>3% of salary (non-consolidated)</td>
</tr>
<tr>
<td>Accelerated Increment</td>
<td>Exceeds expectations, considerably above the normal expectation or has taken on additional responsibility within the grade level or demonstrates sustained behaviours which allow the role to be achieved more effectively</td>
<td>12 month in post qualifying period</td>
<td>Normally one additional increment (consolidated)</td>
</tr>
<tr>
<td>Contribution Increment</td>
<td>Consistently exceeds expectations and demonstrates accompanying behaviours (over a period of at least 2 years) which can be evidenced through the My Contribution process and provides outstanding service to the School, department or University and is undertaking some higher level activities (but not enough to warrant re-grading)</td>
<td>Staff must be at normal maximum point of salary scale</td>
<td>Contribution increment (consolidated)</td>
</tr>
</tbody>
</table>

3.2 Ex gratia award

Ex gratia awards are available to recognise performance or contribution which exceeds expectations and where the work is “one-off” rather than on-going. This could include:
a) Additional but time limited responsibility, e.g. one-off project work which is significant and carried out in addition to normal day to day duties

b) The achievement of particularly challenging objectives, overcoming significant obstacles to ensure deadlines are met and aims achieved

c) Providing a significant contribution to ideas and assisting in their implementation, which in turn lead to enhancements in efficiency, quality and/or achievement of Departmental/School objectives.

Payment to a team may be appropriate where there is evidence that all members of the team have worked together to achieve a significant objective and each individual has demonstrated that they exceed expectations.

The payment of an ex gratia award will be 3% of salary. All awards are non-superannuable but will be subject to tax and national insurance deductions.

There is normally a 6 month in post qualifying period.

3.3 Accelerated increment

The annual incremental salary system recognises continuing capability and competence year on year with experience in the post as well as rewarding loyalty to the University. However, an individual may be awarded an accelerated increment where:

a) He/she has demonstrated progress in capability and competence considerably above the normal expectation.

b) He/she has taken on additional responsibility on an on-going basis, but where the level of that responsibility falls within their current grade.

c) He/she is demonstrating sustained behaviours which allows their role to be achieved more effectively on an on-going basis, e.g. high quality achieved, significant customer service provided, etc.

The overall criterion for the award of accelerated progression is the demonstration of exceptional levels of achievement in carrying out the duties of the post. This should be demonstrated by reference to the Job Description and/or assigned Grade Descriptor/Role Profile and evidenced through the My Contribution process.

There is a 12 month in post qualifying period and confirmation of sustained and outstanding performance over at least one year is required.

3.4 Contribution increment

Contribution increments are reserved for those staff who, on a sustained basis (i.e. over a period of at least 2 years), over-achieve against role requirements and objectives. These may be awarded where an individual:

a) Is consistently exceeding expectations which can be evidenced through discussions and outcomes from the My Contribution process AND
b) Is consistently **providing outstanding service** to the School/Department/wider University AND
c) Is **undertaking activities at a higher level on an ongoing basis**, but where this is not part of the substantive role and/or would not warrant regrading.

To be eligible for the award of a Contribution increment a member of staff must be at the normal maximum salary point of his/her current salary scale.

There must be confirmation of sustained and outstanding performance over at least a 2 year period in order to be eligible for a Contribution increment.

For staff on FE64 contracts, Contribution increments are equivalent to Discretionary increments on the FE64 salary scale.

### 3.5 Applications

An application for an award can be submitted by an employee or their line manager according to the timetable below to the Department of People & OD, using the form at Appendix 1.

Where the submission is being made by the employee, the submission must include:
- A fully completed section 3 of the application form by the job holder.
- A fully completed section 4 by the Line Manager.
- A fully completed section 5 by the Dean/Director.

Where the submission is being made by the Line Manager, the submission must include:
- A fully completed section 4 by the Line Manager.
- A fully completed section 5 by the Dean/Director.

There is no right of appeal against a failure to receive an award under this scheme.

Successful awards will be effective from 1st August (back-dated) following approval, as per timetable in Section 12 below.

All award requests must be submitted to People & OD prior to consideration by the Salary Reward Panel (SRP). The Department of People & OD will assess the request to ensure that the procedure has been followed correctly.

The decision to make any award under this scheme will be made by the Salary Reward Panel.

The Dean of School/Head of Department will be notified by the Department of People & OD of the outcome of their submission, once confirmation has been received from the SRP.

The Dean of School/Head of Department can then verbally advise the individual of the outcome which will be followed by written confirmation from the Department of People & OD with feedback, as appropriate.

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1 SRP membership consists of:

<table>
<thead>
<tr>
<th>Deputy Principal (Chair)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Executive Director of Human Resources</td>
</tr>
<tr>
<td>Head of HR (Reward, Performance and Employee Relations)</td>
</tr>
<tr>
<td>SAUWS President</td>
</tr>
<tr>
<td>A Dean</td>
</tr>
<tr>
<td>A Director</td>
</tr>
</tbody>
</table>
### 3.6 Timetable

<table>
<thead>
<tr>
<th>Event</th>
<th>Date</th>
</tr>
</thead>
<tbody>
<tr>
<td>Deadline for submission of applications to P&amp;OD</td>
<td>18 December 2019</td>
</tr>
<tr>
<td>Meeting held by SRP to assess submissions</td>
<td>January/February</td>
</tr>
<tr>
<td>Notifications of outcome to be sent by P&amp;OD</td>
<td>January/February</td>
</tr>
<tr>
<td>Effective date of implementation</td>
<td>1st August (back-dated)</td>
</tr>
</tbody>
</table>

Award of accelerated/contribution increments is made on a permanent basis and is incorporated into salary. However, these can be reviewed and may be withdrawn in the case of any performance management processes requiring to be instigated.
Salary Recognition & Reward - Application Form

Section 1: Jobholder Information

Name:
Job Title:
Department/School:
Grade:
Submitted by: Date of Submission:

Section 2: Award Information

Award being applied for (please tick one only, as appropriate):
Ex gratia award  □
Accelerated Increment  □
Contribution Increment  □

Section 3: Case for Award
(For self-applications, to be completed by jobholder, no more than 500 words)

Please highlight specific achievements and outcomes in relation to the case for award and explain how you believe these have been carried out at an “exceptional” level of performance. Achievements should be placed in the context of the particular role performed and the departmental/school strategic priorities and business requirements. Please also detail how you have personally contributed to the achievements and outcomes highlighted.

Signature of Jobholder:
Date:
Section 4: Statement by the Line Manager
(To be completed by the Line Manager, no more than 500 words)

If supporting the submission, please evidence how the employee meets the requirements of the award and how their performance is above what would normally be expected. It should highlight the standards expected and how these are being exceeded by the jobholder with reference to outcomes discussed and recorded through the My Contribution process. Achievements should be placed in the context of the particular role required to be performed and the departmental/school strategic priorities/business requirements. If the submission is not supported, please specify here and provide the reason.

If supporting, you are confirming that the performance level discussed and evidenced through the My Contribution process for 2018/19 (also 2017/18 if applying for Contribution Increment) is exceptional and fully supports this submission.

Signature of Line Manager:

Date:

Section 5: Statement by the Dean/Director

I support this submission/I do not support this submission (Delete as appropriate)

Reason:

If supporting, you are confirming that the performance level discussed and evidenced through the My Contribution process for 2018/19 (also 2017/18 if applying for Contribution Increment) is exceptional and fully supports this submission.

Signature of Dean/Director:

Date:

This form should be sent (preferably by email) to Lorraine McCormack, PA to the Executive Director of People and OD, Witherspoon Building, Paisley Campus.